



#4 IDS 2871
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9-16-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: SASAKI
SERIAL NO.: 09/855,148
FILED: May 14, 2001
FOR: FABRICATION METHOD OF LIQUID CRYSTAL...
GROUP: 2871
EXAMINER: DUONG, THOI V. DOCKET: NEC 142491

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Assistant Commissioner for Patents & Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with the above-entitled matter, Applicant hereby attaches U.S. Patent Office Form PTO-1449, including copies of the references listed therein. These references were cited in the Japanese Office Action dated June 25, 2002 or the underlying Japanese patent application. Enclosed is a copy of the Japanese Office Action, together with an English translation of the relevant parts thereof. The claims in the present application are believed to be patentably distinguished over these references.

This information disclosure statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(A). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(A) relies on a materiality concept which depends on subjectivity.

In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits

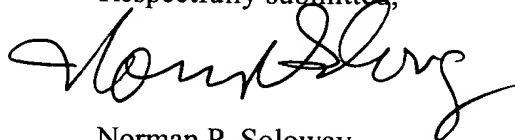
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a copy of the Office Action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of foreign examiner or the claims of the foreign application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted therewith.

The enclosed Information Disclosure Statement is being submitted within three months of receipt of the Japanese Office Action, as certified in the attached Certification for Information Disclosure Statement. Therefore, we believe there are no fees involved with this prior art disclosure statement. In the event there are any fee deficiencies, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,



Norman P. Soloway
Attorney for Applicant
Reg. No. 24,315

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on September 3, 2002 at Tucson, Arizona.

By: Demise Francek

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STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

Docket No.
NEC 142491

In Re Application Of: SASAKI

Serial No.
09/855,148

Filing Date
May 14, 2001

Examiner
DUONG, THOI V

Group Art Unit
2871

Invention: FABRICATION METHOD OF LIQUID CRYSTAL DISPLAY PANEL



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

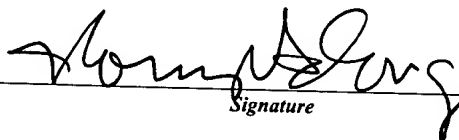
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

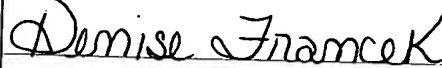

Signature

Dated: September 3, 2002

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Certificate of Mailing by First Class Mail

I certify that this document is being deposited on
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